



41

Docket No. 740756-2297

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Shunpei YAMAZAKI et al.

Serial No. 09/837,558

Filed: April 19, 2001

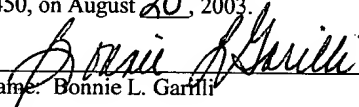
For: SEMICONDUCTOR DEVICE AND MANUFACTURING
METHOD THEREOF

)
)
) Examiner: Nathan W. Ha
) Group Art Unit: 2814
) Confirmation No. 7532
)

RECEIVED
AUG 25 2003
TECHNOLOGY CENTER 2800

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for First Class Mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on August 20, 2003.


Name: Bonnie L. Garfili

REQUEST FOR ACKNOWLEDGMENT OF
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

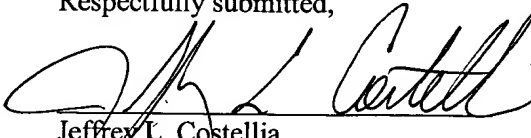
Sir:

Information Disclosure Statements with Forms PTO-1449 were filed in the above-identified patent application on August 13, 2001, April 5, 2002, October 11, 2002 and July 14, 2003. Applicants have not yet received back from the Examiner a copy of the Forms PTO-1449 initialed to acknowledge the fact that the Examiner has considered the cited disclosed information. In the case of the IDS filed August 13, 2001, only one reference was not acknowledged, and this is highlighted in yellow.

The Examiner is requested to initial and return to the undersigned a copy of the subject Forms PTO-1449.

Should there be any questions concerning this communication, please telephone the undersigned at the number set forth below.

Respectfully submitted,


Jeffrey L. Costellia
Registration No. 35,483

Nixon Peabody LLP
8180 Greensboro Drive, Suite 800
McLean, Virginia 22102
(703) 770-9300

INFORMATION DISCLOSURE STATEMENT

AUG 21 2003

Applicant: ~~Shimpei YAMAZAKI, et al.~~

Filing Date: April 19, 2001

Group Art Unit:
Unassigned

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
	6,252,248 B1	06/26/2001	Sano et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes	No
NA	0 178 447	04/23/1986	EP			Full Eng	
NA	02-015676	01/19/1990	JP			Eng Abst	
NA	02-666293	06/27/1997	JP			Full Eng	
NA	03-082171	04/08/1991	JP			Eng Abst	
NA	03-256365	11/15/1991	JP			Eng Abst	
NA	04-152574	05/26/1992	JP			Eng Abst	
NA	04-364074	12/16/1992	JP			Eng Abst	
NA	11-354802	12/24/1999	JP			Eng Abst	
NA	2000-183356	06/30/2000	JP			Full Eng	
NA	2000-194014	07/14/2000	JP			Full Eng	
NA	2000-196093	07/14/2000	JP			Full Eng	
NA	57-032641	02/22/1982	JP			Eng Abst	
NA	58-115850	07/09/1983	JP			Full Eng	
NA	60-081869	05/09/1985	JP			Eng Abst	
NA	60-154660	08/14/1985	JP			Eng Abst	
NA	61-067269	04/07/1986	JP			Eng Abst	
NA	61-088565	05/06/1986	JP			Eng Abst	
NA	61-220371	09/30/1986	JP			Eng Abst	
NA	62-005661	01/12/1987	JP			Eng Abst	
NA	62-117359	05/28/1987	JP			Full Eng	
NA	64-019761	01/23/1989	JP			Eng Abst	
NA	64-053459	03/01/1989	JP			Full Eng	
NA	64-053460	03/01/1989	JP			Full Eng	
NA	64-059866	03/07/1989	JP			Full Eng	
NA	93/21659	10/28/1993	WO			Full Eng	

Examiner

Nathan W. Ellis

Date Considered

8/17/05

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

08/13/2001

Form PTO-1449
(Rev. 8-83)U.S. Department of Commerce
Patent and Trademark Office

Atty Docket 0756-2297

Serial No. 09/837,558

INFORMATION DISCLOSURE STATEMENT

Applicants: Shunpei YAMAZAKI et al.

Filing Date: April 19, 2001

Group Art Unit: 2811

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	11-354802	12/24/1999	JP			Full Eng	

4/5/02
Examiner

Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

4/04/2002

INFORMATION DISCLOSURE STATEMENT

Applicants: Shunpei YAMAZAKI et al.

Filing Date: April 19, 2001

Group Art Unit: 2811

U.S. PATENT DOCUMENTS

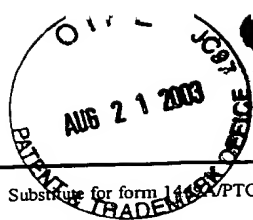
aminer al	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
	5,315,132	05/24/1994	Yamazaki			
	5,463,483	10/31/1995	Yamazaki			
	5,485,019	01/16/1996	Yamazaki et al.			
	5,521,107	05/28/1996	Yamazaki et al.			
	5,532,850	07/02/1996	Someya et al.			
	5,621,224	04/15/1997	Yamazaki et al.			
	5,646,424	07/08/1997	Zhang et al.			

10/11/02
aminer

Date Considered

XAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.

10/2002



Substitute for form 1449 USPTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known			
				Application Number		09/837,558	
				Filing Date		April 19, 2001	
				First Named Inventor		Shunpei YAMAZAKI et al.	
				Art Unit		2814	
				Examiner Name		Ngan V. Ngo	
Sheet	1	of	1	Attorney Docket Number		740756-2297	

U.S. PATENT DOCUMENTS					
Examiner Initials [*]	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-2002/0093019 A1	07-18-2002	Hirabayashi et al.	
		US-2003/0038303 A1	02-27-2003	Hashimoto et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

7/14/03